

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>112740-254</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/889890</b> )	
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/00317</b>		INTERNATIONAL FILING DATE <b>17 January 2000</b>		PRIORITY DATE CLAIMED <b>21 January 1999</b>	
TITLE OF INVENTION <b>LOAD DISTRIBUTION METHOD OF A MULTIPROCESSOR SYSTEM, AND MULTIPROCESSOR SYSTEM</b>					
APPLICANT(S) FOR DO/EO/US <b>Peter Hanselka et al.</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>					
Items 13 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input checked="" type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>20. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
Submission of Drawings Figures 1-3 on three sheets					

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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/889890</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP00/00317</b>	ATTORNEY'S DOCKET NUMBER <b>112740-254</b>
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21. The following fees are submitted:
- BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**
- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1,000.00**
  - ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$860.00**
  - ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$710.00**
  - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$690.00**
  - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**CALCULATIONS PTO USE ONLY**

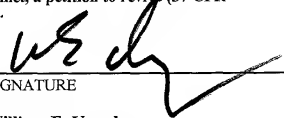
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	35 - 20 =	15	x \$18.00	<b>\$270.00</b>	
Independent claims	2 - 3 =	0	x \$80.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,130.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,130.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,130.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,130.00</b>	
				<b>Amount to be refunded</b>	<b>\$</b>
				<b>charged</b>	<b>\$</b>

- ☒ A check in the amount of **\$1,130.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

William E. Vaughan

NAME

**39,056**

REGISTRATION NUMBER

**July 23, 2001**

DATE

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

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**In the Specification:**

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**TITLE**

## BACKGROUND OF THE INVENTION

The present invention relates to a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a number of processors  $MP_i$

(where  $i=1,2,...,n$ ) under real-time conditions. The present invention further relates to a multiprocessor system, in particular of a communication system, having a load distribution mechanism.

### **Description of the Prior Art**

- 5           A similar method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, is disclosed, for example, in the applicant's European patent application EP 0 645 702 A1. This document discloses a method for load balancing in a multiprocessor system, in particular a multiprocessor system of a communication system, in which tasks that
- 10   arise can be processed by a number of processors under real time conditions, in which case, in order to perform the load balancing, the following method steps are mentioned:
- each processor determines its load state in the form of a quantified magnitude,
  - 15       - the load states of the other processors are communicated to each processor within a time frame,
  - depending on its load state exceeding a specific magnitude and depending on the load states of the remaining processors, each processor outputs at least a portion of the tasks arising in it to the remaining processors, and
  - 20       - the output tasks are divided between the remaining processors in accordance with the load states thereof.

- In the example, the method is concretized to the effect that distribution quotas are calculated during operation continually and before entry into the load distribution, which, in this case, does not begin until after a specific
- 25   overload has been reached, according to which distribution quotas the individual processors output their distributable load to other processors in the case of overload. If the system is permanently utilized to capacity in a nonuniform manner, then the load is distributed only in the event of overload of one or more processors. This is accompanied by unnecessary load rejection, however. Reducing the
- 30   overload threshold to a lower value does not lead to a satisfactory result because an

unnecessarily large amount of load is distributed and oscillation states can arise. This situation emerges from the assumption made there that the overload or the nonuniform loading lasts for a short duration.

Furthermore, reference is made to Evans D.J. et al., "Dynamic Load  
5 Balancing using Task Transfer Probabilities" in Parallel Computing, Vol. 19, No. 8, August 1, 1993, pages 897-916, which also presents a load distribution strategy taking account of load distribution probabilities, although exclusively currently measured values are taken into consideration. The temporal development of the parameters considered is not taken into account.

10 It is an object of the invention, therefore, to specify an improved load distribution method for a multiprocessor system which commences in good time and in a "soft" fashion and thereby eliminates permanent unbalanced load states in the load offer without load rejection. Moreover, the intention is also to specify a corresponding multiprocessor system.

#### 15 SUMMARY OF THE INVENTION

Accordingly, the inventors propose a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a number of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) under real-time conditions, having the following iterative  
20 method steps that are repeated at time intervals  $CI$ :

- each processor  $MP_i$  determines its actual load state  $Y_i$  and estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$  = load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a  
25 multi-value load indication value (balancing indicator)  $MPbi_i$ ,
- each processor  $MP_i$  indirectly or directly communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),
- each processor  $MP_i$  determines its load distribution factors  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of the other processors  
30  $MP_k$ ,

- each processor  $MP_i$  determines its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and the load distribution factors  $P_{ij}$ ,

- on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ , each processor  $MP_i$  distributes its distributable load to other processors  $MP_k$  if its

5 distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

In order to estimate the offered load  $A_i$  of a processor  $MP_i$ , it is advantageous to use the formula  $A_i = Y_i / (1 - q_i V)$ .  $A_i$  and  $Y_i$  can be specified in units of erlangs, while the variables  $q_i$  and  $V$  are dimensionless fraction indications in accordance with their meaning.

10 It is also advantageous to subdivide the multi-value load indication value (balancing indicator)  $MPb_i$  into three discrete values, preferably the following demarcation with threshold values holding true: NORMAL for  $MPb_i$  if the processor capacity utilization is from 0 to 70%, HIGH for  $MPb_i$  if the processor capacity utilization is from 70% to 85%, and OVERLOAD for  $MPb_i$  if the  
15 processor capacity utilization is above 85%.

It is also advantageous if a hysteresis is introduced in the case of a load state alteration on account of threshold value overshooting or threshold value undershooting in the case of rising or falling processor capacity utilization.

Moreover, it may be advantageous if the load indication value (balancing  
20 indicator)  $MPb_i$  is subject to a temporal hysteresis with regard to changes and thus experiences a certain inertia. Values of 1 to 2 time intervals  $CI$  can be assumed as hysteresis limit.

Further advantageous assumptions in the performance of the method according to the present invention are: the typical distributable proportion  $V$  of a  
25 typical task shall be the average or maximum proportion, and an average or maximum processing time of a task shall be assumed as the typical processing time of a task. In this case, the respective average value or maximum value of a proportion and respectively of a task also can be continually determined during the operating time and, if appropriate, be incorporated as a moving value and be  
30 adopted in updated form into the load distribution method. It is favorable here if the

time duration over which the moving values are determined is long relative to the control interval CL.

- It is also particularly advantageous if the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $0.05 < q_v < 0.3$ , preferably  $0.1 < q_v < 0.25$ , preferably  $q_v = 0.2$ .

Furthermore, the method according to the present invention can be configured particularly advantageously if the following criteria are satisfied in the calculation of the distribution quota  $q_i$ :

- 10       -  $p_{ii} = 0$   
      - if  $MP_{ij}$  corresponds to an average load, preferably  $MP_{ij} = \text{NORMAL}$ , the following holds true:  
           $p_{ij}(\text{new}) = p_{ij}(\text{old}) + p_{c1}/n$ , for  $j=1, \dots, n$  and  $i \neq j$   
      - if  $MP_{ij}$  corresponds to a high load, preferably  $MP_{ij} = \text{HIGH}$ , the following holds true:  
15        $p_{ij}(\text{new}) = p_{ij}(\text{old}) - p_{c2}/n$ , for  $j=1, \dots, n$  and  $i \neq j$   
      - if  $MP_{ij}$  corresponds to an overload, preferably  $MP_{ij} = \text{OVERLOAD}$ , the following holds true:  
           $p_{ij}(\text{new}) = 0$   
20       - in which case the  $p_{ij}$  ( $j=1, \dots, n$ ) is normalized to 1 with the sum  $p_{\text{sum}}$  of the  $p_{ij}$  and  
      - as initialization value at the beginning of the distribution processes, all  $p_{ij}$ , excluding  $p_{ii}$ , are identical.

- As advantageous numerical values,  $0.1 < p_{c1} < 0.5$ , preferably  $0.2 < p_{c1} < 0.3$  and preferably  $p_{c1} = 0.25$  may be assumed for the constant  $p_{c1}$ . Equally, it is advantageous to set  $0.1 < p_{c2} < 0.5$ , preferably  $0.2 < p_{c2} < 0.3$ , preferably  $p_{c2} = 0.25$  for the constant  $p_{c2}$ . Moreover, the initialization value of the  $p_{ij}$  at the beginning of the distribution processes can be set to be equal to  $(n-1)^{-1}$ .

- Furthermore, the method according to the present invention can be configured particularly advantageously if each processor  $MP_i$  determines a multi-

value load status (load state)  $MPIs_i$  on the basis of its actual current load  $Y_i$ , and the following criteria are satisfied in the calculation of the load indication values  $MPbi_i$ :

- if  $MPIs_i$  corresponds to the highest load, preferably  $MPIs_i = \text{EXTREME}$ , the

5 following holds true:

$q_i(\text{new}) = c_{q1}$ ,

- if  $p_{\text{sum}} \geq 1$  holds true:

- if the actual load state  $Y_i$  is greater than a predetermined value  $\text{threshold}_H$ ,

$q_i$  is increased where  $q_i = \min\{q_i + c_{q1}, 1\}$ ,

10 - if the actual load state  $Y_i$  is less than a predetermined value  $\text{threshold}_N$ ,  $q_i$

is decreased where  $q_i = \max\{q_i - c_{q2}, c_{q3}\}$ , where  $0 < c_{q3} < q_v$ , preferably  $c_{q3} = 0.1$ ,

- otherwise ( $\text{threshold}_N \leq Y_i \leq \text{threshold}_H$ ),  $q_i$  obtains an intermediate value between the two alternatives mentioned above, preferably by linear interpolation

- if  $p_{\text{sum}} \leq 1$  holds true:  $q_i(\text{new}) = q_i(\text{old}) * p_{\text{sum}}$ .

15 With regard to the multi-value load status (load state)  $MPIs_i$ , the assumption of four discrete values is proposed as being particularly preferred, the following preferably being assumed: NORMAL for  $MPIs_i$  if the processor capacity utilization lies below 70%, HIGH for  $MPIs_i$  if the processor capacity utilization is from 70% to 85%, OVERLAND for  $MPIs_i$  if the processor capacity utilization lies above 85%,  
20 and EXTREME for  $MPIs_i$  if the load state OVERLAND permanently prevails. In this case, two, is maybe advantageous if the load status (load state)  $MPIs_i$  is subject to a hysteresis with regard to changes. Values of 1 to 2 time intervals CI advantageously can be assumed as hysteresis limit.

For optimal configuration of the method of the present invention, the  
25 following ranges of numbers of numerical values are preferred for the constant  $c_{q1}$ :  
 $0.05 < c_{q1} < 0.3$ , preferably  $0.1 < c_{q1} < 0.2$ , preferably  $c_{q1} = 0.15$ . Moreover, preferably  
 $0.05 < c_{q2} < 0.2$ , preferably  $c_{q2} = 0.10$ , can be assumed for the constant  $c_{q2}$ .

With regard to the constant  $\text{threshold}_N$ , the following is regarded as a preferred range of values:  $0.6 < \text{threshold}_N < 0.8$ , preferably  $\text{threshold}_N = 0.7$ .



With regard to the constant threshold<sub>H</sub>, the following is regarded as a preferred range of values:  $0.7 < \text{threshold}_H < 0.95$ , preferably  $\text{threshold}_H = 0.85$ .

Another configuration of the method according to the present invention provides for an overload value  $OL_i$  of the processors  $MP_i$  to be additionally

- 5 determined in each time interval  $CI$ , which value is a measure of the magnitude of the overload and serves as a benchmark for overload rejection, where  $OL_i = 0, 1, \dots, m$ ,  $OL_i$  representing a quantification for the overload of the processor, and the distribution quota  $q_i$  to be increased in any case if  $OL_i > 0$  where
- $$q_i(\text{new}) := \min \{ q_i(\text{old}) + c_{q1}, 1 \}.$$

- 10 According to the present invention, it is also possible to adapt the load distribution method to changing boundary conditions by the above-specified constants ( $q_v$ ,  $p_{c1}$ ,  $p_{c2}$ ,  $q_{c1}$ ,  $q_{c2}$ ,  $\text{threshold}_N$ ,  $\text{threshold}_N$ ,  $c_{q1}$ ,  $c_{q2}$ ,  $c_{q3}$ ) being at least partly adapted during operation.

- The present invention additionally proposes a multiprocessor system, in particular of a communication system, having a number of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) for executing tasks that arise under real-time conditions, in which case:

- each processor  $MP_i$  determines its actual load state  $Y_i$ , and estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$  = load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically
- 20 distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ ,
- each processor  $MP_i$  indirectly or directly communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),
- each processor  $MP_i$  determines its load distribution probabilities  $p_{ij}$  (where
- 25  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of the other processors  $MP_k$ ,
- each processor  $MP_i$  determines its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and
- each processor  $MP_i$  distributes, on the basis of its quota  $q_i$  and its load
- 30 distribution factors  $p_{ij}$ ,

its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

According to the present invention, the multiprocessor system proposed above can be configured such that one of the abovementioned methods is  
5 implemented in each case, the implementation being effected by corresponding programming of the processors.

It should also be pointed out that the index (old) relates, in each case, to the values of the preceding iteration step, and the index (new) relates to the now current iteration step.

10 The particular advantage of the method according to the present invention and of the corresponding multiprocessor system is that, in contrast to the prior art mentioned in the introduction, it ensures a "soft" entry into the load distribution and, as a result, is more adaptable and less susceptible to unbalanced load situations wherein oscillation states are better avoided. Ultimately, this reduces the probability  
15 of the rejection of tasks, in particular switching tasks.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Preferred Embodiments and the Drawings.

### **DESCRIPTION OF THE DRAWINGS**

20 Figure 1 shows a flow diagram of an arising and distributed load offer;

Figure 2a shows a graphical illustration of the decisions for updating the load distribution factors  $p_{ij}$ ;

Figure 2b shows a graphical illustration of the decisions for updating the distribution quotas  $q_i$ ; and

25 Figure 3 shows a formula for linear interpolation of  $q_i$ .

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The method according to the present invention (normal load balancing=NLB) is a load balancing method in which quotas are set and which runs  
on a multiprocessor system, in particular in a switching center of a communication  
30 system, for distributing operating loads that arise between the respective other

processors. It is intended to ensure that lengthy unbalanced load situations are eliminated, and as far as possible, all requested tasks are processed in the shortest possible time. A particularly advantageous embodiment of this method will be described below.

5        Each processor  $MP_i$  where  $i=1,2,...,n$  carries a distribution quota  $q_i$ , which fixes the proportion  $V$  of the distributable load which is actually to be distributed. Such a quota enables a softer entry or exit from the load distribution to other processors. Oscillation states and load fluctuations are avoided in this way. This may be the case, for example, if a processor distributes so much load to another  
10       processor that the latter is in turn overloaded.

      The distribution quota  $q_i$  is determined anew at each time interval  $CI$ . The only information required by the other processors  $MP_k$  where  $k=1,...,i-1,i+1,...,n$  for each  $CI$  are load value indicators (balancing indicators)  $MPb_i$ . These load value indicators are, similarly to the load status values (load states) from the load control,  
15       load states having the significances NORMAL, HIGH or OVERLOAD. While the load state is determined on the basis of the actually processed load  $Y_i$  of the processor  $MP_i$ , the load value indicator  $MPb_i$  is determined from an estimation of the currently offered load  $A_i$ . The estimated offered load  $A_i$  may, due to load distribution, be considerably more than the actually processed load  $Y_i$  and  
20       constitutes the crucial quantity which (in the form of the load value indicator  $MPb_i$ ) is made available as information by one processor  $MP_i$  to the others  $MP_k$ .

      In addition to the distribution quota  $q_i$ , each  $MP_i$  carries probabilities  $p_{ij}$  which indicate the probability that, in the event of load distribution, load will be transferred from the  $i$ -th processor  $MP_i$  to the  $j$ -th processor  $MP_j$ . The probabilities  
25       are determined in such a way that if, for instance, the  $j$ -th processor  $MP_j$  already has a large amount of load to process and, therefore, can only take up a small amount of additional load, the associated  $p_{ij}$  is less than the  $P_{ik}$  for a free  $MP_k$ .

      Figure 1 illustrates the interaction of the  $p_{ij}$  and  $q_i$ . The double indexing "ij" of the characteristic quantities refers to the respective processor with the number of  
30       the first index (here  $i$ ) in each case knowing a "column" of  $n$  values with the second



An illustration of these decisions is represented in Figures 2 and 2b. The decision diagrams show the updating algorithms for  $p_{ij}$  (Figure 2a) and for distribution quota  $q_i$  (Figure 2b), which are carried out in each time interval CI for the  $i$ -th processor  $MP_i$ .

- 5 In the load distribution method (NLB) according to the present invention, some parameters (constants) are required, the choice of which can greatly influence the behavior in specific load situations. In most cases this results in a conflict between a load distribution method which can react rapidly to load changes, and a stable load distribution method which does not tend toward oscillations and further  
10 distribution of tasks. In this case, "further distribution" refers to the simultaneous distribution of dedicated load and the processing of extrinsic load on one processor.

The following parameter changes bring about a more rapidly reacting NLB:

- the relatively great alteration of  $q_i$  where:  $0.15 < c_{q1}$ ,  $0.1 < c_{q2}$
- the relatively great alteration of the  $p_{ij}$  where:  $0.25 < p_{c1}$ ,  $0.25 < p_{c2}$
- 15 - the relatively late setting of the load indication values  $MPb_{ij}$  where:  
threshold<sub>H</sub> > 0.7 (i.e. report only in the event of relatively high load 'HIGH') to the other processors  $MP_k$ .

In detail, the preferred method thus proceeds as follows in a multiprocessor communication computer:

- 20 As the duration of the time interval (control interval) CI of the time frame with which the method iteratively proceeds, 1 to 2 seconds is preferably chosen in the case of the presently known multiprocessor systems appertaining to switching technology. It goes without saying that the time interval can be shortened with rising processor power.

- 25 The quantities  $q_i$ ,  $p_{ij}$ ,  $MPi_s$  and  $MPb_{ij}$  are updated in each control interval CI.

The actually processed load  $Y_i$  of a processor  $MP_i$  is determined as processor run time quantity, measured in erlangs.

The estimated offered load  $A_j$  of a processor  $MP_j$  is determined from the distribution quota  $q_i$  of the current control interval CI and the estimated distributable proportion of an average task, for example the processing of a call.

The following holds true:

5 The number of processors  $MP_i$  in the multiprocessor system is  $n$ .

$A_i := Y_i / (1 - q_i V)$ , where  $V$  is the distributable proportion of a call.

$MPIs_i$ : load state of the  $i$ -th MP, can assume the values NORMAL, HIGH, OVERLOAD or EXTREME. The actually processed load  $Y_i$  is used to calculate the load state.

10 In order to avoid premature changes of the  $MPIs_i$ , hystereses are introduced. If, for instance, the  $MPIs_i$  is set from NORMAL to HIGH, it must be the case that  $Y_i > \text{threshold}_N + \Delta_+$ , whereas, in order to get from HIGH to NORMAL, it must be the case that  $Y_i < \text{threshold}_N - \Delta_-$ . This procedure is also known as the high water-low water method. In the case of EXTREME, the distribution method (load  
15 balancing) must be switched off for this processor  $MP_i$ , for system engineering reasons relating to the switching center.

$\text{threshold}_N$ : is the normal load threshold - after taking a hysteresis into account, the  $MPIs$  is recorded as NORMAL below the said threshold and as HIGH above said threshold.

20  $\text{threshold}_H$ : High load threshold - after taking a hysteresis and a load-dependent temporal delay (start indicator) into account, the  $MPIs$  is recorded as HIGH below this threshold and as OVERLOAD above said threshold.

The load indication value (balancing indicator)  $MPbi_i$  of the  $i$ -th processor  $MP_i$  can assume the values NORMAL, HIGH or OVERLOAD. This value is  
25 calculated like the  $MPIs_i$ , except that here, instead of the actual load  $Y_i$ , the estimated offered load  $A_i$  is taken as a basis and other values are adopted for  $\Delta_+$  and  $\Delta_-$ , where  $\Delta_+ = \Delta_- = 0.02$ .

In addition, an Overload Level  $OL_i$  of the processor  $MP_i$  is determined, which can assume the values 0... 6 and is conceived as quantification of the

overload state of the processor  $MP_i$ . If the  $OL_i > 0$ , calls are rejected; the higher the value, the greater the probability that a call will be rejected.

The load which is to be distributed from  $MP_i$  to  $MP_j$  is expressed as a probability  $p_{ij}$  and can, thus, assume values between 0 and 1.

- 5           The magnitude of the value  $p_{ij}$  is determined by the following criteria:
- initialize  $p_{ij}$  where  $p_{ij} := (n-1)^{-1}$
  - $p_{ii}$ : 0,  $MP_i$  should not distribute to itself.
  - If  $MP_{bij}$ =NORMAL:  $p_{ij} \rightarrow p_{ij} + 0.25/n$ ,  $j=1, \dots, n$ ,  $i \neq j$ . The old  $p_{ij}$  can be increased because there is still space on the processor  $MP_j$ .
  - 10           - If  $MP_{bij}$ =HIGH:  $p_{ij} \rightarrow p_{ij} - 0.25/n$ . The old  $p_{ij}$  must be decreased because  $MP_j$  is utilized to full capacity.
  - If  $MP_{bij}$ =OVERLOAD:  $p_{ij} = 0$ . No load should be output to overloaded processors  $MP_n$ .

The newly determined  $p_{ij}$  must still be normalized:

- 15           Set  $p_{\text{sum}} = \sum (p_{ij})$  over  $j=1, \dots, n$  and normalize (if  $p_{\text{sum}} > 0$ ) where
- $$p_{ij} \rightarrow p_{ij}/p_{\text{sum}}$$

Afterward, the distribution quota  $q_i$  is determined using the following criteria:

- Initialization value:  $q_i = 0.1$
- 20           - If the  $MP_{iSi}$ =EXTREME:  $q_i = 0.1$ . This MP is overloaded so severely that even its own proportion for a distributed call would overtax it. Therefore, no load balancing, rather only rejecting; load balancing is not practical, moreover, for system engineering reasons relating to the switching center.
- If  $p_{\text{sum}} > 1$ , more load can evidently be distributed.  $q_i$  can then be
- 25           determined according to the requirements of the  $MP_i$ , where:
  1. If the  $OL_i > 0$ , increase  $q_i$  in any case, where:  $q_i \rightarrow \min \{q_i + 0.15, 1\}$
  2. If  $Y_i > \text{threshold}_H$ , increase  $q_i$ , where:  $q_i \rightarrow \min \{q_i + 0.15, 1\}$
  3. If  $Y_i < \text{threshold}_N$ , decrease  $q_i$ , where:  $q_i \rightarrow \max \{q_i - 0.10, 0.1\}$
  4. Otherwise, if  $\text{threshold}_N < Y_i < \text{threshold}_H$  the following holds true:

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$$q_i \rightarrow \min \{ \max \{ q_i + (0.25 / (\text{threshold}_H - \text{threshold}_N)) * (Y_i - \text{threshold}_N) - 0.1, 0.1 \}, 1.0 \}.$$

This is the linear interpolation between the above increase by 0.15 and the above decrease by 0.1. The formula is represented again more readably in Figure 3.

5       - If  $p_{\text{sum}} < 1$ , evidently too much load was distributed and  $q_i$  must be decreased, where:  $q_i \rightarrow q_i * p_{\text{sum}}$ .

      - The processor  $MP_i$  distributes load to other processors  $MP_k$  if it becomes the case that  $q_i > 0.25$ .

10       The method according to the present invention thus has the following properties and advantages:

      A very small information overhead exists between the processors participating in the load distribution method. Only a few, preferably three-value, load states are reciprocally known, which load states are updated and distributed only once per control interval.

15       For each processor, there is a quota which is updated in each control interval and regulates the proportion of the load which is to be distributed from the processor considered to the other processors involved.

      For each processor, there are individual regulators which divide between the other processors the load that is to be distributed.

20       The method is designed as a "fire-fighting measure" which only takes effect when a processor reaches overload and, if appropriate, tasks (calls) are rejected, rather the load distribution commences earlier and in a softer fashion. As a result, continuous unbalanced load states can be processed better and with fewer rejected tasks (calls).

25       In the method according to the present invention, the load states which are distributed to the other processors are consistently determined on the basis of the estimated offered load and not on the basis of the actually processed load.

30       The present method does not require a load balancing flag which regulates entry into the load distribution. The entry is regulated by way of the distribution quota  $q_i$ . Furthermore, mutual dependencies between the load states and the load



balancing flag have been eliminated as a result of the absence of a load balancing flag. As a result, the algorithm more easily can be subsequently adapted to changed conditions.

5 The load-dependent alteration of the individual regulators (load distribution factors  $p_{ij}$ ) takes place as a function of the number  $n$  of processors participating in the load distribution. Consequently, the method is independent of the number of processors involved.

10 The load-dependent alteration of the distribution quotas and of the individual regulators per control interval takes place in such a way as to avoid excessively slow "creeping" to the optimum value.

The load-dependent alteration of the individual regulators prevents the values from staying at the setting of the preceding load distribution period during a period without load distribution. Rather, there is regulation back to an initial setting.

15 The inertia, known from the prior art, in the alteration of the quotas has been removed in order to enable easier tracking to the load situation that is actually present.

Attention is supplementary drawn to the definition of a few terms in this application:

20 The term or word element "quota" describes the fraction of a whole with a range of values between 0 and 1.

The term or word element "state" describes the instantaneous situation or the instantaneous current value of a quantity. Thus, e.g., the load state of a processor is to be understood as the value of the current load of the processor.

25 The term hysteresis defines the dependence of a current quantity on its earlier values, its history or its time characteristic.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

30

### **ABSTRACT OF THE DISCLOSURE**

A method for load distribution in a real-time multiprocessor system and to a multiprocessor system, each processor carrying a distribution quota which fixes the proportion of the distributable load which is actually to be distributed. The distribution quota is determined anew at time intervals. The only information required by the other processors for each time interval are load value indicators, which depend on an estimated load. Probabilities indicating how load is transferred from one processor to the others during load distribution are additionally carried. Afterward, on the basis of its distribution quota and its load distribution factors, each processor distributes its distributable load to other processors if its distribution quota exceeds a predetermined value.

#### **In the claims:**

On page 19, cancel line 1, and substitute the following left-hand justified heading therefor:

#### **We Claim as Our Invention:**

Please cancel claims 1-26, without prejudice, and substitute the following claims therefor:

27. A method for load distribution in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) under real-time conditions, the method comprising the following steps that are repeated at time intervals  $CI$ :

determining, via each processor  $MP_i$ , a respective actual current load  $Y_i$ ;  
estimating, via each processor  $MP_i$  and as a function of previously communicated distribution quotas  $q_i(\text{old})$  and a typically distributable proportion  $V$  of a typical task, a respective offered load  $A_i$ , which leads to a multi-value load indication value  $MPbi_i$ , the distribution quota  $q_i$  representing a load proportion which can be distributed to other processors  $MP_k$ ;

communicating, via each processor  $MP_i$ , the respective load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ );

determining, via each processor  $MP_i$ , respective load distribution probabilities  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPb_{ik}$  of the other processors  $MP_k$ ;

- 5 determining, via each processor  $MP_i$ , a new distribution quota  $q_i(\text{new})$  as a function of the respective current load  $Y_i$  and the load distribution factors  $p_{ij}$ ; and distributing, via each processing  $MP_i$  and based on the respective new distribution quota  $q_i$  and the respective load distribution factors  $p_{ij}$ , the respective distributable load to the other processors  $MP_k$  if the respective new distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

10

28. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein the estimated offered load  $A_i$  of the processor  $MP_i$  is calculated according to the formula  $A_i := Y_i / (1 - q_i V)$ .

15

29. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein the multi-value load indication value  $MPb_i$  can assume three discrete values, NORMAL, HIGH and OVERLOAD, where NORMAL corresponds to a processor capacity utilization of from 0 to 70%, HIGH corresponds to a processor capacity utilization of from 70% to 85% and OVERLOAD corresponds to a processor capacity utilization of from 85% to 100%.

20

30. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 29, wherein the multi-value load indication value  $MPb_i$  is subject to a hysteresis with regard to changes.

25

31. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein one of an average and a maximum distributable proportion of a typical task CallP is regarded as the typical distributable proportion  $V$ .

30

32. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 31, wherein the one of the average and the maximum distributable proportion of a typical task is continually determined as moving average and moving maximum value, respectively, over a predetermined  
5 time period  $t_D$ .

33. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 32, wherein the following holds true for the predetermined time period  $t_D$ :  $t_D \gg CI$ .  
10

34. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 31, wherein one of an average and a maximum task is assumed as the typical task.

35. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 34, wherein the one of the average and the maximum task is continually determined as moving average and moving  
15 maximum value, respectively, over a predetermined time period  $t_D$ .

36. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 35, wherein the following holds true for the predetermined time period  $t_D$ :  $t_D \gg CI$ .  
20

37. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $0.05 < q_v < 0.3$ .  
25

38. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein the following holds true for  
30

the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $0.1 < q_v < 0.25$ .

39. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 27, wherein the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $q_v = 0.2$ .

40. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 29, wherein the calculation of the distribution quota  $q_i$  satisfies the following criteria:

$$p_{ii} = 0$$

if  $MP_{bi_j}$  corresponds to an average load, preferably  $MP_{bi_j} = \text{NORMAL}$ , the following holds true:

$$p_{ij}(\text{new}) = p_{ij}(\text{old}) + p_{ci}/n, \text{ for } j=1, \dots, \text{ and } i \neq j;$$

if  $MP_{bi_j}$  corresponds to a high load, preferably  $MP_{bi_j} = \text{HIGH}$ , the following holds true:

$$p_{ij}(\text{new}) = p_{ij}(\text{old}) - p_{ci}/n, \text{ for } j=1, \dots, n \text{ and } i \neq j;$$

if  $MP_{bi_j}$  corresponds to an overload, preferably  $MP_{bi_j} = \text{OVERLOAD}$ , the following holds true:

$$p_{ij}(\text{new}) = 0;$$

in which case the  $p_{ij}$  ( $j=1, \dots, n$ ) are normalized to 1 with the sum  $p_{\text{sum}}$  of the  $p_{ij}$ ; and

as initialization value at the beginning of the distribution processes, all  $p_{ij}$ , excluding  $p_{ii}$ , are identical.

41. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{ci}$ :

$$0.1 < p_{ci} < 0.5.$$

42. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{c1}$ :

5  $0.2 < p_{c1} < 0.3.$

43. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{c1}$ :

10  $p_{c1} = 0.25.$

44. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{c2}$ :  $0.1 < p_{c2} < 0.5$

15

45. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{c2}$ :  $0.2 < p_{c2} < 0.3.$

20

46. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the following holds true for the constant  $p_{c2} = 0.25.$

25 47. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein the initialization value of the  $p_{ij}$  at the beginning of the distribution processes is set to be equal to  $(n-1)^{-1}.$

48. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 40, wherein each processor  $MP_i$  determines a multi-value load status  $MPIs_i$  based on the respective actual current

30

load  $Y_i$ , and the calculation of the load indication values  $MPbi_i$  satisfies the following criteria:

if  $MPbi_i$  corresponds to the highest load, the following holds true:

$q_i(\text{new}) = c_{q1}$ ;

5 if  $p_{\text{sum}} \geq 1$  holds true:

- if the actual current load  $Y_i$  is greater than a predetermined value  $\text{threshold}_H$ ,  $q_i$  is increased where  $q_i = \min \{q_i + c_{q1}, 1\}$ ,

- if the actual current load  $Y_i$  is less than a predetermined value  $\text{threshold}_N$ ,  $q_i$  is decreased where  $q_i = \max \{q_i - c_{q2}, c_{q3}\}$ , where  $0 < c_{q3} < q_v$ , preferably

10  $c_{q3} = 0.1$ ,

- if  $\text{threshold}_N \leq Y_i \leq \text{threshold}_H$ ,  $q_i$  obtains an intermediate value between the two alternatives mentioned above by linear interpolation; and

if  $p_{\text{sum}} \leq 1$  holds true:  $q_i(\text{new}) = q_i(\text{old}) * p_{\text{sum}}$ .

15 49. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 48, wherein the multi-value load status  $MPbi_i$  is subject to a hysteresis with regard to changes.

50. A method for load distribution in a multiprocessor system of a  
20 communication system as claimed in claim 48, wherein the multi-value load status  $MPbi_i$  can assume four discrete values, NORMAL (0 to 0.7), HIGH (0.7 to 0.85), OVERLOAD (0.85 to 1) and EXTREME (if load status over a plurality of CI OVERLOAD).

25 51. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $c_{q1}$ :  $0.05 < c_{q1} < 0.3$ .

52. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $c_{q1} < 0.2$ .

5 53. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $c_{q1} = 0.15$ .

10 54. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $c_{q2}$ :  $0.05 < c_{q2} < 0.2$ .

15 55. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $c_{q2} = 0.10$ .

56. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $\text{threshold}_N$ :  $0.6 < \text{threshold}_N < 0.8$ .

20 57. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $\text{threshold}_N = 0.7$ .

25 58. A method for load distribution in a multiprocessor system of a communication system as claimed in claim 49, wherein the following holds true for the constant  $\text{threshold}_H$ :  $0.7 < \text{threshold}_H < 0.95$ .





**REMARKS**

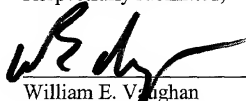
5 The present amendment makes editorial changes and corrects typographical errors in the specification, which includes the Abstract, in order to conform the specification to the requirements of United States Patent Practice. No new matter is added thereby. Attached hereto is a marked-up version of the changes made to the specification by the present amendment. The attached page is captioned "**Version With Markings To Show Changes Made**".

10 In addition, the present amendment cancels original claims 1-26 in favor of new claims 27-61. Claims 27-61 have been presented solely because the revisions by red-lining and underlining which would have been necessary in claims 1-26 in order to present those claims in accordance with preferred United States Patent Practice would have been too extensive, and thus would have been too burdensome.

15 The present amendment is intended for clarification purposes only and not for substantial reasons related to patentability pursuant to 35 USC §§103, 102, 103 or 112. Indeed, the cancellation of claims 1-26 does not constitute an intent on the part of the Applicants to surrender any of the subject matter of claims 1-26.

Early consideration on the merits is respectfully requested.

Respectfully submitted,

20 

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VERSIONS WITH MARKINGS TO SHOW CHANGES MADE

In The Specification:

The Specification of the present application, including the Abstract, has been amended as follows:

SPECIFICATION

TITLE

5 ~~Load distribution method of a multiprocessor system, and multiprocessor~~  
system

LOAD DISTRIBUTION METHOD OF A MULTIPROCESSOR SYSTEM,  
AND MULTIPROCESSOR SYSTEM

BACKGROUND OF THE INVENTION

10 Description

Field of the Invention

The present invention relates to a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality number of  
15 processors  $MP_i$  (where  $i=1,2,...,n$ ) under real-time conditions, ~~and~~ . The present invention further relates to a multiprocessor system, in particular of a communication system, having a load distribution mechanism.

Description of the Prior Art

A similar method for load distribution in a multiprocessor system, in  
20 particular in a multiprocessor system of a communication system, is disclosed, for example, in the applicant's European patent application EP 0 645 702 A1. This document discloses a method for load balancing in a multiprocessor system, in particular a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality number of processors under real time  
25 conditions, in which case, in order to perform the load balancing, ~~generally~~ the following method steps are mentioned:

- each processor determines its load state in the form of a quantified magnitude,

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- the load states of the other processors are communicated to each processor within a time frame,
  - depending on its load state exceeding a specific magnitude and depending on the load states of the remaining processors, each processor outputs at least a
  - 5 portion of the tasks arising in it to the remaining processors, and
  - the output tasks are divided between the remaining processors in accordance with the load states thereof.

In the ~~exemplary embodiment~~ example, the method is concretized to the effect that distribution quotas are calculated during operation continually and

10 before entry into the load distribution, which, in this case, does not begin until after a specific overload has been reached, according to which distribution quotas the individual processors output their distributable load to other processors in the case of overload. If the system is permanently utilized to capacity in a nonuniform

15 manner, then the load is distributed only in the event of overload of one or more processors. This is accompanied by unnecessary load rejection, however. Reducing the overload threshold to a lower value does not lead to a satisfactory result because ~~then~~ an unnecessarily large amount of load is distributed and oscillation states can arise. This situation emerges from the assumption made there that the overload or the nonuniform loading lasts for a short duration.

20 Furthermore, reference is made to Evans D.J. et al., "Dynamic Load Balancing using Task Transfer Probabilities" in Parallel Computing, Vol. 19, No. 8, August 1, 1993, pages 897-916, which also presents a load distribution strategy taking account of load distribution probabilities, although exclusively currently measured values are taken into consideration. The temporal development of the

25 parameters considered is not taken into account.

It is an object of the invention, therefore, to specify an improved load distribution method for a multiprocessor system which commences in good time and in a "soft" fashion and thereby eliminates permanent unbalanced load states in the load offer without load rejection. Moreover, the intention is also to specify a

30 corresponding multiprocessor system.

SUMMARY OF THE INVENTION

The object is achieved on the one hand by means of a method having the method steps of the first method claim and on the other hand by means of a multiprocessor system having the features of the first apparatus claim.

5 Accordingly, the inventors propose a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality number of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) under real-time conditions, having the following iterative method steps that are repeated at time intervals  $CI$ :

- 10 - each processor  $MP_i$  determines its actual load state  $Y_i$  and estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$  = load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ ,
- 15 - each processor  $MP_i$  indirectly or directly communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),
- each processor  $MP_i$  determines its load distribution factors  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of said the other processors  $MP_k$ ,
- 20 - each processor  $MP_i$  determines its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and the load distribution factors  $p_{ij}$ ,
- on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ , each processor  $MP_i$  distributes its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .
- 25 In order to estimate the offered load  $A_i$  of a processor  $MP_i$ , it is advantageous to use the formula  $A_i := Y_i / (1 - q_i V)$ .  $A_i$  and  $Y_i$  can be specified in units of erlangs, while the variables  $q_i$  and  $V$  are dimensionless fraction indications in accordance with their meaning.

30 It is also advantageous to subdivide the multi-value load indication value (balancing indicator)  $MPbi_i$  into three discrete values, preferably the following

demarcation with threshold values holding true: NORMAL for MPbi if the processor capacity utilization is from 0 to 70%, HIGH for MPbi if the processor capacity utilization is from 70% to 85%, and OVERLOAD for MPbi if the processor capacity utilization is above 85%.

5 It is also advantageous if a hysteresis is introduced in the case of a load state alteration on account of threshold value overshooting or threshold value undershooting in the case of rising or falling processor capacity utilization.

Moreover, it may be advantageous if the load indication value (balancing indicator) MPbi is subject to a temporal hysteresis with regard to changes and thus  
10 experiences a certain inertia. Values of 1 to 2 time intervals CI can advantageously be assumed as hysteresis limit.

Further advantageous assumptions in the performance of the method according to the present invention are: the typical distributable proportion V of a typical task shall be the average or maximum proportion, and an average or  
15 maximum processing time of a task shall be assumed as the typical processing time of a task. In this case, the respective average value or maximum value of a proportion and respectively of a task ~~can~~ also can advantageously be continually determined during the operating time and, if appropriate, be incorporated as a moving value and be adopted in updated form into the load distribution method. It  
20 is favorable here if the time duration over which the moving values are determined is long relative to the control interval CI.

It is also particularly advantageous if the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor MPi distributes distributable load to other processors MPk:  $0.05 < q_v < 0.3$ ,  
25 preferably  $0.1 < q_v < 0.25$ , preferably  $q_v = 0.2$ .

Furthermore, the method according to the present invention can be configured particularly advantageously if the following criteria are satisfied in the calculation of the distribution quota  $q_i$ :

-  $p_{ii} = 0$

- if  $MPbi_j$  corresponds to an average load, preferably  $MPbi_j = \text{NORMAL}$ ,  
the following holds true:

$$p_{ij}(\text{new}) = p_{ij}(\text{old}) + p_{c1}/n, \text{ for } j=1, \dots, n \text{ and } i \neq j$$

- if  $MPbi_j$  corresponds to a high load, preferably  $MPbi_j = \text{HIGH}$ , the

5 following holds true:

$$p_{ij}(\text{new}) = p_{ij}(\text{old}) - p_{c2}/n, \text{ for } j=1, \dots, n \text{ and } i \neq j$$

- if  $MPbi_j$  corresponds to an overload, preferably  $MPbi_j = \text{OVERLOAD}$ , the  
following holds true:

$$p_{ij}(\text{new}) = 0$$

10 - in which case the  $p_{ij} (j=1, \dots, n)$  is normalized to 1 with the sum  $p_{\text{sum}}$  of the  $p_{ij}$   
and

- as initialization value at the beginning of the distribution processes, all  $p_{ij}$ ,  
excluding  $p_{ii}$ , are identical.

As advantageous numerical values,  $0.1 < p_{c1} < 0.5$ , preferably  $0.2 < p_{c1} < 0.3$  and  
15 preferably  $p_{c1} = 0.25$  may be assumed for the constant  $p_{c1}$ . Equally, it is  
advantageous to set  $0.1 < p_{c2} < 0.5$ , preferably  $0.2 < p_{c2} < 0.3$ , preferably  $p_{c2} = 0.25$  for the  
constant  $p_{c2}$ . Moreover, the initialization value of the  $p_{ij}$  at the beginning of the  
distribution processes can be set to be equal to  $(n-1)^{-1}$ .

Furthermore, the method according to the present invention can be  
20 configured particularly advantageously if each processor  $Mp_i$  determines a multi-  
value load status (load state)  $MP1s_i$  on the basis of its actual current load  $Y_i$ , and  
the following criteria are satisfied in the calculation of the load indication values  
 $MPbi_i$ :

- if  $MP1s_i$  corresponds to the highest load, preferably  $MP1s_i = \text{EXTREME}$ , the

25 following holds true:

$$q_i(\text{new}) = c_{q1},$$

- if  $p_{\text{sum}} \geq 1$  holds true:

- if the actual load state  $Y_i$  is greater than a predetermined value  $\text{threshold}_{H_i}$ ,

$q_i$  is increased where  $q_i = \min\{q_i + c_{q1}, 1\}$ ,

- if the actual load state  $Y_i$  is less than a predetermined value threshold $_N$ ,  $q_i$  is decreased where  $q_i = \max\{q_i - c_{q2}, c_{q3}\}$ , where  $0 < c_{q3} < q_v$ , preferably  $c_{q3} = 0.1$ ,

- otherwise ( $\text{threshold}_N \leq Y_i \leq \text{threshold}_H$ ),  $q_i$  obtains an intermediate value between the two alternatives mentioned above, preferably by linear interpolation

5 - if  $p_{\text{sum}} \leq 1$  holds true:  $q_i(\text{new}) = q_i(\text{old}) * p_{\text{sum}}$ .

With regard to the multi-value load status (load state) MPLs $_i$ , the assumption of four discrete values is proposed as being particularly preferred, the following preferably being assumed: NORMAL for MPLs $_i$  if the processor capacity utilization lies below 70%, HIGH for MPLs $_i$  if the processor capacity utilization is from 70% to 10 85%, OVERLAND for MPLs $_i$  if the processor capacity utilization lies above 85%, and EXTREME for MPLs $_i$  if the load state OVERLAND permanently prevails. In this case, two, is maybe advantageous if the load status (load state) MPLs $_i$  is subject to a hysteresis with regard to changes. Values of 1 to 2 time intervals CI can advantageously can be assumed as hysteresis limit.

15 For optimal configuration of the method of the present invention, the following ranges of numbers of numerical values are preferred for the constant  $c_{q1}$ :  $0.05 < c_{q1} < 0.3$ , preferably  $0.1 < c_{q1} < 0.2$ , preferably  $c_{q1} = 0.15$ . Moreover, preferably  $0.05 < c_{q2} < 0.2$ , preferably  $c_{q2} = 0.10$ , can be assumed for the constant  $c_{q2}$ .

20 With regard to the constant threshold $_N$ , the following is regarded as a preferred range of values:  $0.6 < \text{threshold}_N < 0.8$ , preferably  $\text{threshold}_N = 0.7$ .

With regard to the constant threshold $_H$ , the following is regarded as a preferred range of values:  $0.7 < \text{threshold}_H < 0.95$ , preferably  $\text{threshold}_H = 0.85$ .

Another configuration of the method according to the present invention provides for an overload value OL $_i$  of the processors MP $_i$  to be additionally 25 determined in each time interval CI, which value is a measure of the magnitude of the overload and serves as a benchmark for overload rejection, where OL $_i = 0, 1, \dots, m$ , OL $_i$  representing a quantification for the overload of the processor, and the distribution quota  $q_i$  to be increased in any case if OL $_i > 0$  where  $q_i(\text{new}) := \min\{q_i(\text{old}) + c_{q1}, 1\}$ .



According to the present invention, it is also possible to adapt the load distribution method to changing boundary conditions by the above-specified constants ( $q_v$ ,  $p_{c1}$ ,  $p_{c2}$ ,  $q_{c1}$ ,  $q_{c2}$ ,  $\text{threshold}_N$ ,  $\text{threshold}_N$ ,  $c_{q1}$ ,  $c_{q2}$ ,  $c_{q3}$ ) being at least partly adapted during operation.

5 The present invention additionally proposes a multiprocessor system, in particular of a communication system, having a plurality number of processors  $MP_i$  (where  $i=1,2,...,n$ ) for executing tasks that arise under real-time conditions, in which case:

- each processor  $MP_i$  ~~has means for determining~~ determines its actual load state  $Y_i$ , and ~~for estimating~~ estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$ =load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ ,

15 - each processor  $MP_i$  ~~has means for~~ indirectly or directly ~~communicating~~ communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1,2,...i-1,i+1,...n$ ),

- each processor  $MP_i$  ~~has means for determining~~ determines its load distribution probabilities  $p_{ij}$  (where  $j = 1,2,...n$ ) as a function of the load indication values  $MPbi_k$  of ~~said the~~ other processors  $MP_k$ ,

20 - each processor  $MP_i$  ~~has means for determining~~ determines its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and

- each processor  $MP_i$  ~~has means for distributing~~ distributes, on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ ,  
25 its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

According to the present invention, the multiprocessor system proposed above can be configured such that one of the abovementioned methods is implemented in each case, the implementation being effected by corresponding  
30 programming of the processors.

It should also be pointed out that the index (old) relates, in each case, to the values of the preceding iteration step, and the index (new) relates to the now current iteration step.

The particular advantage of the method according to the present invention and of the corresponding multiprocessor system is that, in contrast to the prior art mentioned in the introduction, it ensures a "soft" entry into the load distribution and, as a result, is more adaptable and less susceptible to unbalanced load situations, and wherein oscillation states are better avoided better. Ultimately, this reduces the probability of the rejection of tasks, in particular switching tasks.

Further configurations, additional features and advantages of the invention emerge from the following description of a preferred exemplary embodiment with reference to the drawings:

It is understood that the features of the invention that have been mentioned above and will be explained below can be used not only in the combination respectively specified but also in other combinations or by themselves, without departing from the scope of the invention.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Preferred Embodiments and the Drawings.

#### **DESCRIPTION OF THE DRAWINGS**

Specifically, in the figures:

Figure 1: shows a flow diagram of the an arising and distributed load offer;

Figure 2a: shows a graphical illustration of the decisions for updating the load distribution factors  $p_{ij}$ ;

Figure 2b: shows a graphical illustration of the decisions for updating the distribution quotas  $q_i$  and

Figure 3: shows a formula for linear interpolation of  $q_i$ .

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The method according to the present invention (normal load balancing=NLB) is a load balancing method in which quotas are set and which runs

on a multiprocessor system, in particular in a switching center of a communication system, for distributing operating loads that arise between the respective other processors, and it is intended to ensure that lengthy unbalanced load situations are eliminated, and as far as possible, all requested tasks are processed in the shortest possible time. A particularly advantageous embodiment of this method will be described below.

Each processor  $MP_i$  where  $i=1,2,\dots,n$  carries a distribution quota  $q_i$ , which fixes the proportion  $V$  of the distributable load which is actually to be distributed. Such a quota enables a softer entry or exit from the load distribution to other processors. Oscillation states and load fluctuations are avoided in this way. This may be the case, for example, if a processor distributes so much load to another processor that the latter is in turn overloaded.

The distribution quota  $q_i$  is determined anew at each time interval  $CI$ . The only information required by the other processors  $MP_k$  where  $k=1,\dots,i-1,i+1,\dots,n$  for each  $CI$  are load value indicators (balancing indicators)  $MP_{bi}$ . These load value indicators are, similarly to the load status values (load states) from the load control, load states having the significances NORMAL, HIGH or OVERLOAD. While the load state is determined on the basis of the actually processed load  $Y_i$  of the processor  $MP_i$ , the load value indicator  $MP_{bi}$  is determined from an estimation of the currently offered load  $A_i$ . The estimated offered load  $A_i$  may, due to load distribution, be considerably more than the actually processed load  $Y_i$  and constitutes the crucial quantity which (in the form of the load value indicator  $MP_{bi}$ ) is made available as information by one processor  $MP_i$  to the others  $MP_k$ .

In addition to the distribution quota  $q_i$ , each  $MP_i$  carries probabilities  $p_{ij}$  which indicate the probability that, in the event of load distribution, load will be transferred from the  $i$ -th processor  $MP_i$  to the  $j$ -th processor  $MP_j$ . The probabilities are determined in such a way that if, for instance, the  $j$ -th processor  $MP_j$  already has a large amount of load to process and, therefore, can only take up a small amount of additional load, the associated  $p_{ij}$  is less than the  $p_{ik}$  for a free  $MP_k$ .



been reduced, then the sum of the  $p_{ij}$  over  $j$  is less than 1 and the distribution quota  $q_i$  must be reduced.

An illustration of these decisions is represented in ~~figures~~ Figures 2 and 2b. The decision diagrams show the updating algorithms for  $p_{ij}$  (Figure 2a) and for distribution quota  $q_i$  (Figure 2b), which are carried out in each time interval CI for the  $i$ -th processor  $MP_i$ .

In the load distribution method (NLB) according to the present invention, some parameters (constants) are required, the choice of which can greatly influence the behavior in specific load situations. In most cases this results in a conflict between a load distribution method which can react rapidly to load changes, and a stable load distribution method which does not tend toward oscillations and further distribution of tasks. In this case, "further distribution" means refers to the simultaneous distribution of dedicated load and the processing of extrinsic load on one processor.

The following parameter changes bring about a more rapidly reacting NLB:

- the relatively great alteration of  $q_i$  where:  $0.15 < c_{q1}$ ,  $0.1 < c_{q2}$
- the relatively great alteration of the  $p_{ij}$  where:  $0.25 < p_{c1}$ ,  $0.25 < p_{c2}$
- the relatively late setting of the load indication values  $MPb_i$  where:  $threshold_H > 0.7$  (i.e. report only in the event of relatively high load 'HIGH') to the other processors  $MPk_i$ .

In detail, the preferred method thus proceeds as follows in a multiprocessor communication computer:

As the duration of the time interval (control interval) CI of the time frame with which the method iteratively proceeds, 1 to 2 seconds is preferably chosen in the case of the presently known multiprocessor systems appertaining to switching technology. It goes without saying that the time interval can be shortened with rising processor power.

The quantities  $q_i$ ,  $p_{ij}$ ,  $MPi_i$  and  $MPb_{ij}$  are updated in each control interval CI.

The actually processed load  $Y_i$  of a processor  $MP_i$  is determined as processor run time quantity, measured in erlangs.

- The estimated offered load  $A_i$  of a processor  $MP_i$  is determined from the distribution quota  $q_i$  of the current control interval CI and the estimated
- 5     distributable proportion of an average task, for example the processing of a call.

The following holds true:

The number of processors  $MP_i$  in the multiprocessor system is  $n$ .

$A_i := Y_i / (1 - q_i V)$ , where  $V$  is the distributable proportion of a call.

- $MPIs_i$ : load state of the  $i$ -th MP, can assume the values NORMAL, HIGH,
- 10     OVERLOAD or EXTREME. The actually processed load  $Y_i$  is used to calculate the load state.

- In order to avoid premature changes of the  $MPIs_i$ , hystereses are introduced. If, for instance, the  $MPIs_i$  is set from NORMAL to HIGH, it must be the case that  $Y_i > \text{threshold}_N + \Delta_+$ , whereas, in order to get from HIGH to NORMAL, it must be
- 15     the case that  $Y_i < \text{threshold}_N - \Delta_-$ . This procedure is also known as the high water-low water method. In the case of EXTREME, the distribution method (load balancing) must be switched off for this processor  $MP_i$ , for system engineering reasons relating to the switching center.

- $\text{threshold}_N$ : is the normal load threshold - after taking a hysteresis into
- 20     account, the  $MPIs$  is recorded as NORMAL below the said threshold and as HIGH above said threshold.

$\text{threshold}_H$ : High load threshold - after taking a hysteresis and a load-dependent temporal delay (start indicator) into account, the  $MPIs$  is recorded as HIGH below this threshold and as OVERLOAD above said threshold.

- 25     The load indication value (balancing indicator)  $MPbi_i$  of the  $i$ -th processor  $MP_i$  can assume the values NORMAL, HIGH or OVERLOAD. This value is calculated like the  $MPIs_i$ , except that here, instead of the actual load  $Y_i$ , the estimated offered load  $A_i$  is taken as a basis and other values are adopted for  $\Delta_+$  and  $\Delta_-$ , where  $\Delta_+ = \Delta_- = 0.02$ .

In addition, an Overload Level  $OL_i$  of the processor  $MP_i$  is determined, which can assume the values 0... 6 and is conceived as quantification of the overload state of the processor  $MP_i$ . If the  $OL_i > 0$ , calls are rejected; the higher the value, the greater the probability that a call will be rejected.

- 5        The load which is to be distributed from  $MP_i$  to  $MP_j$  is expressed as a probability  $p_{ij}$  and can, thus, assume values between 0 and 1.

The magnitude of the value  $p_{ij}$  is determined by the following criteria:

- initialize  $p_{ij}$  where  $p_{ij} := (n-1)^{-1}$
- $p_{ii}$ : 0,  $MP_i$  should not distribute to itself.
- 10    - If  $MP_{bij} = \text{NORMAL}$ :  $p_{ij} \rightarrow p_{ij} + 0.25/n$ ,  $j=1, \dots, n$ ,  $i \neq j$ . The old  $p_{ij}$  can be increased because there is still space on the processor  $MP_j$ .
- If  $MP_{bij} = \text{HIGH}$ :  $p_{ij} \rightarrow p_{ij} - 0.25/n$ . The old  $p_{ij}$  must be decreased because  $MP_j$  is utilized to full capacity.
- If  $MP_{bij} = \text{OVERLOAD}$ :  $p_{ij} = 0$ . No load should be output to overloaded
- 15    processors  $MP_n$ .

The newly determined  $p_{ij}$  must still be normalized:

Set  $p_{\text{sum}} = \text{sum } (p_{ij}) \text{ over } j=1, \dots, n$  and normalize (if  $p_{\text{sum}} > 0$ ) where  
 $p_{ij} \rightarrow p_{ij}/p_{\text{sum}}$

- 20    Afterward, the distribution quota  $q_i$  is determined using the following criteria:

- Initialization value:  $q_i = 0.1$
- If the  $MP_{li} = \text{EXTREME}$ :  $q_i = 0.1$ . This  $MP$  is overloaded so severely that even its own proportion for a distributed call would overtax it. Therefore, no load balancing, rather only rejecting; load balancing is not practical, moreover, for
- 25    system engineering reasons relating to the switching center.
- If  $p_{\text{sum}} > 1$ , more load can evidently be distributed.  $q_i$  can then be determined according to the requirements of the  $MP_i$ , where:
  1. If the  $OL_i > 0$ , increase  $q_i$  in any case, where:  $q_i \rightarrow \min \{q_i + 0.15, 1\}$
  2. If  $Y_i > \text{threshold}_{H_i}$ , increase  $q_i$ , where:  $q_i \rightarrow \min \{q_i + 0.15, 1\}$
  - 30    3. If  $Y_i < \text{threshold}_{N_i}$ , decrease  $q_i$ , where:  $q_i \rightarrow \max \{q_i - 0.10, 0.1\}$

4. Otherwise, if  $\text{threshold}_N < Y_i < \text{threshold}_H$  the following holds true:

$$q_i \rightarrow \min \{ \max \{ q_i + (0.25 / (\text{threshold}_H - \text{threshold}_N)) * (Y_i - \text{threshold}_N) - 0.1, 0.1 \}, 1.0 \}.$$

5 This is the linear interpolation between the above increase by 0.15 and the above decrease by 0.1. The formula is represented again more readably in figure Figure 3.

- If  $p_{\text{sum}} < 1$ , evidently too much load was distributed and  $q_i$  must be decreased, where:  $q_i \rightarrow q_i * p_{\text{sum}}$ .

10 - The processor  $MP_i$  distributes load to other processors  $MP_k$  if it becomes the case that  $q_i > 0.25$ .

The method according to the present invention thus has the following properties and advantages:

15 A very small information overhead exists between the processors participating in the load distribution method. Only a few, preferably three-value, load states are reciprocally known, which load states are updated and distributed only once per control interval.

For each processor<sub>i</sub> there is a quota which is updated in each control interval and regulates the proportion of the load which is to be distributed from the processor considered to the other processors involved.

20 For each processor<sub>i</sub> there are individual regulators which divide between the other processors the load that is to be distributed.

The method is ~~not only~~ designed as a "fire-fighting measure" which only takes effect when a processor reaches overload and, if appropriate, tasks (calls) are rejected, rather the load distribution commences earlier and in a softer fashion. As a  
25 result, continuous unbalanced load states can be processed better and with fewer rejected tasks (calls).

In the method according to the present invention, the load states which are distributed to the other processors are consistently determined on the basis of the estimated offered load and not on the basis of the actually processed load.



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The present method does not require a load balancing flag which regulates entry into the load distribution. The entry is regulated by way of the distribution quota  $q_i$ . Furthermore, mutual dependencies between the load states and the load balancing flag have been eliminated as a result of the absence of a load balancing flag. As a result, the algorithm can more easily can be subsequently adapted to changed conditions.

The load-dependent alteration of the individual regulators (load distribution factors  $p_{ij}$ ) takes place as a function of the number  $n$  of processors participating in the load distribution. Consequently, the method is independent of the number of processors involved.

The load-dependent alteration of the distribution quotas and of the individual regulators per control interval takes place in such a way as to avoid excessively slow "creeping" to the optimum value.

The load-dependent alteration of the individual regulators prevents the values from staying at the setting of the preceding load distribution period during a period without load distribution. Rather, there is regulation back to an initial setting.

The inertia, known from the prior art, in the alteration of the quotas has been removed in order to enable easier tracking to the load situation that is actually present.

Attention is supplementary drawn to the definition of a few terms in this application:

The term or word element "quota" describes the fraction of a whole with a range of values between 0 and 1.

The term or word element "state" describes the instantaneous situation or the instantaneous current value of a quantity. Thus, e.g., the load state of a processor is to be understood as the value of the current load of the processor.

The term hysteresis defines the dependence of a current quantity on its earlier values, its history or its time characteristic.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

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Abstract

**ABSTRACT OF THE DISCLOSURE**

~~Load distribution method of a multiprocessor system, and multiprocessor system~~

The invention relates to a method for load distribution in a real-time  
5 multiprocessor system and to a multiprocessor system, each processor carrying a  
distribution quota which fixes the proportion of the distributable load which is  
actually to be distributed. The distribution quota is determined anew at time  
intervals. The only information required by the other processors for each time  
interval are load value indicators, which depend on an estimated load. Probabilities  
10 indicating how load is transferred from one processor to the others during load  
distribution are additionally carried. Afterward, on the basis of its distribution quota  
and its load distribution factors, each processor distributes its distributable load to  
other processors if its distribution quota exceeds a predetermined value.

15 Figure 1

3/PATS

## Description

Load distribution method of a multiprocessor system,  
and multiprocessor system

5

The invention relates to a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality of processors  $MP_i$  (where  $i=1,2,\dots,n$ ) under real-time conditions, and to a multiprocessor system, in particular of a communication system, having a load distribution mechanism.

A similar method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, is disclosed for example in the applicant's European patent application EP 0 645 702 A1. This document discloses a method for load balancing in a multiprocessor system, in particular a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality of processors under real time conditions, in which case, in order to perform the load balancing, generally the following method steps are mentioned:

- each processor determines its load state in the form of a quantified magnitude,
- the load states of the other processors are communicated to each processor within a time frame,
- depending on its load state exceeding a specific magnitude and depending on the load states of the remaining processors, each processor outputs at least a portion of the tasks arising in it to the remaining processors, and

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- the output tasks are divided between the remaining processors in accordance with the load states thereof.

In the exemplary embodiment, the method is concretized to the effect that distribution quotas are calculated during operation continually and before entry into the load distribution, which in this case does not begin until after a specific overload has been reached, according to which distribution quotas the individual processors output their distributable load to other processors in the case of overload. If the system is permanently utilized to capacity in a nonuniform manner, then the load is distributed only in the event of overload of one or more processors. This is accompanied by unnecessary load rejection, however. Reducing the overload threshold to a lower value does not lead to a satisfactory result because then an unnecessarily large amount of load is distributed and oscillation states can arise. This situation emerges from the assumption made there that the overload or the nonuniform loading lasts for a short duration.

Furthermore, reference is made to Evans D.J. et al., "Dynamic Load Balancing using Task Transfer Probabilities" in Parallel Computing, Vol. 19, No. 8, August 1, 1993, pages 897-916, which also presents a load distribution strategy taking account of load distribution probabilities, although exclusively currently measured values are taken into consideration. The temporal development of the parameters considered is not taken into account.

It is an object of the invention, therefore, to specify an improved load distribution method for a multiprocessor system which commences in good time and in a "soft" fashion and thereby eliminates permanent unbalanced load states in the load offer without load rejection. Moreover, the intention is also to specify a corresponding multiprocessor system.

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The object is achieved on the one hand by means of a method having the method steps of the first method claim and on the other hand by means of a multiprocessor system having the features of the first apparatus claim.

Accordingly, the inventors propose a method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) under real-time conditions, having the following iterative method steps that are repeated at time intervals CI:

- each processor  $MP_i$  determines its actual load state  $Y_i$  and estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$  = load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ ,

- each processor  $MP_i$  indirectly or directly communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),

- each processor  $MP_i$  determines its load distribution factors  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of said other processors  $MP_k$ ,

- each processor  $MP_i$  determines its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and the load distribution factors  $p_{ij}$ ,

- on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ , each processor  $MP_i$  distributes its distributable load to other processors  $MP_k$  if its

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distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

In order to estimate the offered load  $A_i$  of a processor  $MP_i$ , it is advantageous to use the formula  
5  $A_i := Y_i / (1 - q_i V)$ .  $A_i$  and  $Y_i$  can be specified in units of erlangs, while the variables  $q_i$  and  $V$  are dimensionless fraction indications in accordance with their meaning.

It is also advantageous to subdivide the multi-value load indication value (balancing indicator)  $MPbi_i$   
10 into three discrete values, preferably the following demarcation with threshold values holding true: NORMAL for  $MPbi_i$  if the processor capacity utilization is from 0 to 70%, HIGH for  $MPbi_i$  if the processor capacity utilization is from 70% to 85%, and OVERLOAD for  $MPbi_i$   
15 if the processor capacity utilization is above 85%.

It is also advantageous if a hysteresis is introduced in the case of a load state alteration on account of threshold value overshooting or threshold value undershooting in the case of rising or falling  
20 processor capacity utilization.

Moreover, it may be advantageous if the load indication value (balancing indicator)  $MPbi_i$  is subject to a temporal hysteresis with regard to changes and thus experiences a certain inertia. Values of 1 to 2  
25 time intervals  $CI$  can advantageously be assumed as hysteresis limit.

Further advantageous assumptions in the performance of the method according to the invention are: the typical distributable proportion  $V$  of a  
30 typical task shall be the average

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or maximum proportion, and an average or maximum processing time of a task shall be assumed as the typical processing time of a task. In this case, the respective average value or maximum value of a proportion and respectively of a task can also advantageously be continually determined during the operating time and, if appropriate, be incorporated as a moving value and be adopted in updated form into the load distribution method. It is favorable here if the time duration over which the moving values are determined is long relative to the control interval CI.

It is also particularly advantageous if the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $0.05 < q_v < 0.3$ , preferably  $0.1 < q_v < 0.25$ , preferably  $q_v = 0.2$ .

Furthermore, the method according to the invention can be configured particularly advantageously if the following criteria are satisfied in the calculation of the distribution quota  $q_i$ :

- $p_{i1} = 0$
- if  $MP_{bi_j}$  corresponds to an average load, preferably  $MP_{bi_j} = \text{NORMAL}$ , the following holds true:
  - $p_{ij}(\text{new}) = p_{ij}(\text{old}) + p_{c1}/n$ , for  $j=1, \dots, n$  and  $i \neq j$
  - if  $MP_{bi_j}$  corresponds to a high load, preferably  $MP_{bi_j} = \text{HIGH}$ , the following holds true:
    - $p_{ij}(\text{new}) = p_{ij}(\text{old}) - p_{c2}/n$ , for  $j=1, \dots, n$  and  $i \neq j$
    - if  $MP_{bi_j}$  corresponds to an overload, preferably  $MP_{bi_j} = \text{OVERLOAD}$ , the following holds true:
      - $p_{ij}(\text{new}) = 0$
      - in which case the  $p_{ij}$  ( $j=1, \dots, n$ ) is normalized to 1 with the sum  $p_{\text{sum}}$  of the  $p_{ij}$  and
  - as initialization value at the beginning of the distribution processes, all  $p_{ij}$ , excluding  $p_{i1}$ , are identical.



As advantageous numerical values,  $0.1 < p_{c1} < 0.5$ , preferably  $0.2 < p_{c1} < 0.3$  and preferably  $p_{c1} = 0.25$  may be assumed for the constant  $p_{c1}$ . Equally, it is advantageous to set  $0.1 < p_{c2} < 0.5$ , preferably  $0.2 < p_{c2} < 0.3$ , preferably  $p_{c2} = 0.25$  for the constant  $p_{c2}$ . Moreover, the initialization value of the  $p_{ij}$  at the beginning of the distribution processes can be set to be equal to  $(n-1)^{-1}$ .

Furthermore, the method according to the invention can be configured particularly advantageously if each processor  $Mp_i$  determines a multi-value load status (load state)  $MPls_i$  on the basis of its actual current load  $Y_i$ , and the following criteria are satisfied in the calculation of the load indication values  $MPbi_i$ :

- if  $MPls_i$  corresponds to the highest load, preferably  $MPls_i = \text{EXTREME}$ , the following holds true:

$$q_i(\text{new}) = c_{q1},$$

- if  $p_{\text{sum}} \geq 1$  holds true:

- if the actual load state  $Y_i$  is greater than a predetermined value  $\text{threshold}_H$ ,  $q_i$  is increased where  $q_i = \min\{q_i + c_{q1}, 1\}$ ,

- if the actual load state  $Y_i$  is less than a predetermined value  $\text{threshold}_N$ ,  $q_i$  is decreased where  $q_i = \max\{q_i - c_{q2}, c_{q3}\}$ , where  $0 < c_{q3} < q_v$ , preferably  $c_{q3} = 0.1$ ,

- otherwise ( $\text{threshold}_N \leq Y_i \leq \text{threshold}_H$ ),  $q_i$  obtains an intermediate value between the two alternatives mentioned above, preferably by linear interpolation

$$\text{if } p_{\text{sum}} \leq 1 \text{ holds true: } q_i(\text{new}) = q_i(\text{old}) * p_{\text{sum}}.$$

With regard to the multi-value load status (load state)  $MPls_i$ , the assumption of four discrete values is proposed as being particularly preferred, the following preferably being assumed: NORMAL for

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MPls<sub>i</sub> if the processor capacity utilization lies below 70%, HIGH for MPls<sub>i</sub> if the processor capacity utilization is from 70% to 85%, OVERLAND for MPls<sub>i</sub> if the processor capacity utilization lies above 85%, and  
5 EXTREME for MPls<sub>i</sub> if the load state OVERLAND permanently prevails. In this case, two, is maybe advantageous if the load status (load state) MPls<sub>i</sub> is subject to a hysteresis with regard to changes. Values of 1 to 2 time intervals CI can advantageously be  
10 assumed as hysteresis limit.

For optimal configuration of the method, the following ranges of numbers of numerical values are preferred for the constant  $C_{q1}$ :

0.05 <  $c_{q1}$  < 0.3, preferably 0.1 <  $c_{q1}$  < 0.2, preferably  $c_{q1}$  = 0.15. Moreover, preferably 0.05 <  $c_{q2}$  < 0.2, preferably  $c_{q2}$  = 0.10 can be assumed for the constant  $c_{q2}$ .

- 5 With regard to the constant  $\text{threshold}_N$ , the following is regarded as a preferred range of values: 0.6 <  $\text{threshold}_N$  < 0.8, preferably  $\text{threshold}_N$  = 0.7.

With regard to the constant  $\text{threshold}_H$ , the following is regarded as a preferred range of values: 0.7 <  $\text{threshold}_H$  < 0.95, preferably  $\text{threshold}_H$  = 0.85.

- 10 Another configuration of the method according to the invention provides for an overload value  $OL_i$  of the processors  $MP_i$  to be additionally determined in each time interval  $CI$ , which value is a measure of the magnitude of the overload and serves as a benchmark for  
15 overload rejection, where  $OL_i = 0, 1, \dots, m$ ,  $OL_i$  representing a quantification for the overload of the processor, and the distribution quota  $q_i$  to be increased in any case if  $OL_i > 0$  where  $q_i(\text{new}) := \min\{q_i(\text{old}) + c_{q1}, 1\}$ .

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According to the invention, it is also possible to adapt the load distribution method to changing boundary conditions by the above-specified constants ( $q_v$ ,  $p_{c1}$ ,  $p_{c2}$ ,  $q_{c1}$ ,  $q_{c2}$ ,  $\text{threshold}_N$ ,  $\text{threshold}_N$ ,  $c_{q1}$ ,  $c_{q2}$ ,  $c_{q3}$ ) being at least partly adapted during operation.

The invention additionally proposes a multiprocessor system, in particular of a communication system, having a plurality of processors  $MP_i$  (where  $i=1,2,\dots,n$ ) for executing tasks that arise under real-time conditions, in which case:

- each processor  $MP_i$  has means for determining its actual load state  $Y_i$ , and for estimating as a function of previously communicated distribution quotas  $q_i(\text{old})$  (where  $q_i$ =load proportion to be distributed, if possible, to other processors  $MP_k$ ) and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ ,

- each processor  $MP_i$  has means for indirectly or directly communicating its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1,2,\dots,i-1,i+1,\dots,n$ ),

- each processor  $MP_i$  has means for determining its load distribution probabilities  $p_{ij}$  (where  $j = 1,2,\dots,n$ ) as a function of the load indication values  $MPbi_k$  of said other processors  $MP_k$ ,

- each processor  $MP_i$  has means for determining its distribution quota  $q_i(\text{new})$  as a function of its actual load state  $Y_i$  and

- each processor  $MP_i$  has means for distributing, on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ ,

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its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

5 According to the invention, the multiprocessor system proposed above can be configured such that one of the abovementioned methods is implemented in each case, the implementation being effected by corresponding programming of the processors.

10 It should also be pointed out that the index (old) relates in each case to the values of the preceding iteration step, and the index (new) relates to the now current iteration step.

20 The particular advantage of the method according to the invention and of the corresponding multiprocessor system is that, in contrast to the prior art mentioned in the introduction, it ensures a "soft" entry into the load distribution and, as a result, is more adaptable and less susceptible to unbalanced load situations, and oscillation states are avoided better. Ultimately, this reduces the probability of the rejection of tasks, in particular switching tasks.

25 Further configurations, additional features and advantages of the invention emerge from the following description of a preferred exemplary embodiment with reference to the drawings.

30 It is understood that the features of the invention that have been mentioned above and will be explained below can be used not only in the combination respectively specified but also in other combinations or by themselves, without departing from the scope of the invention.

Specifically, in the figures:

- Figure 1: shows a flow diagram of the arising and distributed load offer
- 5 Figure 2a: shows a graphical illustration of the decisions for updating the load distribution factors  $p_{ij}$
- Figure 2b: shows a graphical illustration of the decisions for updating the distribution quotas  $q_i$
- 10 Figure 3: shows a formula for linear interpolation of  $q_i$ .

The method according to the invention (normal load balancing=NLB) is a load balancing method in which quotas are set and which runs on a multiprocessor  
15 system, in particular in a switching center of a communication system, for distributing operating loads that arise between the respective other processors, and is intended to ensure that lengthy unbalanced load situations are eliminated and as far as possible all  
20 requested tasks are processed in the shortest possible time. A particularly advantageous embodiment of this method will be described below.

Each processor  $MP_i$  where  $i=1,2,\dots,n$  carries a distribution quota  $q_i$ , which fixes the proportion  $V$  of  
25 the distributable load which is actually to be distributed. Such a quota enables a softer entry or exit from the load distribution to other processors. Oscillation states and load fluctuations are avoided in this way. This may be the case, for example, if a  
30 processor distributes so much load to another processor that the latter is in turn overloaded.

The distribution quota  $q_i$  is determined anew at each time interval  $CI$ . The only information required by the other processors  $MP_k$  where  $k=1,\dots,i-1,i+1,\dots,n$  for  
35 each  $CI$  are load value indicators (balancing indicators)  $MPbi_i$ . These load value indicators are - similarly to the load status values (load states)

from the load control - load states having the significances NORMAL, HIGH or OVERLOAD. While the load state is determined on the basis of the actually processed load  $Y_i$  of the processor  $MP_i$ , the load value indicator  $MPbi_i$  is determined from an estimation of the currently offered load  $A_i$ . The estimated offered load  $A_i$  may, due to load distribution, be considerably more than the actually processed load  $Y_i$  and constitutes the crucial quantity which (in the form of the load value indicator  $MPbi_i$ ) is made available as information by one processor  $MP_i$  to the others  $MP_k$ .

In addition to the distribution quota  $q_i$ , each  $MP_i$  carries probabilities  $p_{ij}$  which indicate the probability that, in the event of load distribution, load will be transferred from the  $i$ -th processor  $MP_i$  to the  $j$ -th processor  $MP_j$ . The probabilities are determined in such a way that if, for instance, the  $j$ -th processor  $MP_j$  already has a large amount of load to process and, therefore, can only take up a small amount of additional load, the associated  $p_{ij}$  is less than the  $p_{ik}$  for a free  $MP_k$ .

Figure 1 illustrates the interaction of the  $p_{ij}$  and  $q_i$ . The double indexing "ij" of the characteristic quantities means that the respective processor with the number of the first index (here  $i$ ) in each case knows a "column" of  $n$  values with the second index (here  $j$ ). It should be noted that each processor only knows its relevant values (that is to say its column), overall a square matrix being known in the system. Thus, for example,  $p_{ij}$  is the probability that load will be distributed from the  $i$ -th MP to the  $j$ -th MP if the  $i$ -th MP has too much load.

In Figure 1, moreover, the actually processed load of the  $j$ -th processor  $MP_j$  is designated by  $Y_j$ , the estimated offered load is designated by  $A_j$  and that part of the load offer which can be shifted is designated by  $a$ . The load situation shown is overload

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(OVERLOAD) on  $MP_1$ , there still being space for additional tasks on the  $MP_k$  where  $k=2,3,4$ . The figure shows how the  $MP_1$



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processes a first part of the load itself and distributes the remainder a. Of this remainder a, the largest proportion goes to  $MP_3$  and the smallest proportion to  $MP_4$ , which, in this example, thus already 5 has a large amount of its own load to process. The loads which the  $MP_x$  additionally receive besides that from  $MP_1$  are not depicted. The width of the flow bars represents a measure of the magnitude of the load.

The following algorithm is thus produced in accordance with the concept of the invention: if the j-th processor  $MP_j$  reports the balancing indicator NORMAL, the  $p_{ij}$  is increased on the  $MP_i$  respectively considered. The probability that this processor  $MP_i$  will output load to  $MP_j$  if it has to distribute load thus rises. If the balancing indicator HIGH is reported, then the  $p_{ij}$  is decreased. If the balancing indicator OVERLOAD is reported,  $p_{ij}$  is set to zero, with the result that no load is output to the j-th processor  $MP_j$ . The distribution quota  $q_i$  is changed following the determination of the  $p_{ij}$ . If many of the  $p_{ij}$  were able to be increased, then the sum of the  $p_{ij}$  over j is greater than 1 and there is evidently still space on the other processors  $MP_k$ . The distribution quota  $q_i$  can thus be changed according to the requirements of the processor (considered).

The distribution quota  $q_i$  is increased in the event of high load  $Y_i$  on the processor  $MP_i$  considered, and  $q_i$  is decreased in the event of low load. If many of the  $p_{ij}$  have been reduced, then the sum of the  $p_{ij}$  over  $j$  is less than 1 and the distribution quota  $q_i$  must be reduced.

An illustration of these decisions is represented in figures 2 and 2b. The decision diagrams show the updating algorithms for  $p_{ij}$  (Figure 2a) and for 35 distribution quota  $q_i$  (Figure 2b), which are carried out in each time interval CI for the  $i$ -th processor  $MP_i$ .

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In the load distribution method (NLB) according to the invention, some parameters (constants) are required, the choice of which

can greatly influence the behavior in specific load situations. In most cases this results in a conflict between a load distribution method which can react rapidly to load changes, and a stable load distribution method which does not tend toward oscillations and further distribution of tasks. In this case, "further distribution" means the simultaneous distribution of dedicated load and the processing of extrinsic load on one processor.

10 The following parameter changes bring about a more rapidly reacting NLB:

- the relatively great alteration of  $q_i$  where:  
 $0.15 < c_{q1}$ ,  $0.1 < c_{q2}$

15 - the relatively great alteration of the  $p_{ij}$   
 where:  $0.25 < p_{c1}$ ,  $0.25 < p_{c2}$

- the relatively late setting of the load indication values  $MPb_{i1}$  where:  $threshold_H > 0.7$  (i.e. report only in the event of relatively high load 'HIGH') to the other processors MPk

20 In detail, the preferred method thus proceeds as follows in a multiprocessor communication computer:

As the duration of the time interval (control interval) CI of the time frame with which the method iteratively proceeds, 1 to 2 seconds is preferably  
 25 chosen in the case of the presently known multiprocessor systems appertaining to switching technology. It goes without saying that the time interval can be shortened with rising processor power.

The quantities  $q_i$ ,  $p_{ij}$ ,  $MPIs_i$  and  $MPb_{ij}$  are  
 30 updated in each control interval CI.

The actually processed load  $Y_i$  of a processor  $MP_i$  is determined as processor run time quantity, measured in erlangs.

The estimated offered load  $A_j$  of a processor  $MP_i$   
 35 is determined from the distribution quota  $q_i$  of the current control interval CI and the estimated distributable proportion of an

average task, for example the processing of a call.

The following holds true:

The number of processors  $MP_i$  in the multiprocessor system is  $n$ .

- 5  $A_i := Y_i / (1 - q_i V)$ , where  $V$  is the distributable proportion of a call.

$MPLs_i$ : load state of the  $i$ -th MP, can assume the values NORMAL, HIGH, OVERLOAD or EXTREME. The actually processed load  $Y_i$  is used to calculate the  
10 load state.

In order to avoid premature changes of the  $MPLs_i$ , hystereses are introduced. If, for instance, the  $MPLs_i$  is set from NORMAL to HIGH, it must be the case that  $Y_i > threshold_N + \Delta_+$ , whereas, in order to get from  
15 HIGH to NORMAL, it must be the case that  $Y_i < threshold_N - \Delta_-$ . This procedure is also known as the high water-low water method. In the case of EXTREME, the distribution method (load balancing) must be switched off for this processor  $MP_i$ , for system  
20 engineering reasons relating to the switching center.

$threshold_N$ : is the normal load threshold - after taking a hysteresis into account, the  $MPLs$  is recorded as NORMAL below the said threshold and as HIGH above said threshold.

- 25  $threshold_H$ : High load threshold - after taking a hysteresis and a load-dependent temporal delay (start indicator) into account, the  $MPLs$  is recorded as HIGH below this threshold and as OVERLOAD above said threshold.

30 The load indication value (balancing indicator)  $MPbi_i$  of the  $i$ -th processor  $MP_i$  can assume the values NORMAL, HIGH or OVERLOAD. This value is calculated like the  $MPLs_i$ , except that here, instead of the actual load  $Y_i$ , the estimated offered load  $A_i$  is taken as a basis  
35 and other values are adopted for  $\Delta_+$  and  $\Delta_-$ , where  $\Delta_+ = \Delta_- = 0.02$ .

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In addition, an Overload Level  $OL_i$  of the processor  $MP_i$  is determined, which can assume the values  $0... 6$  and is conceived as quantification of the overload state of the processor  $MP_i$ . If the  $OL_i > 0$ , calls  
 5 are rejected; the higher the value, the greater the probability that a call will be rejected.

The load which is to be distributed from  $MP_i$  to  $MP_j$  is expressed as a probability  $p_{ij}$  and can thus assume values between 0 and 1.

10 The magnitude of the value  $p_{ij}$  is determined by the following criteria:

- initialize  $p_{ij}$  where  $p_{ij} = (n-1)^{-1}$
- $p_{ii}$ : 0,  $MP_i$  should not distribute to itself.
- If  $MPbi_j = \text{NORMAL}$ :  $p_{ij} \rightarrow p_{ij} + 0.25/n$ ,
- 15  $j=1, \dots, n, i \neq j$ . The old  $p_{ij}$  can be increased because there is still space on the processor  $MP_j$ .
- If  $MPbi_j = \text{HIGH}$ :  $p_{ij} \rightarrow p_{ij} - 0.25/n$ . The old  $p_{ij}$  must be decreased because  $MP_j$  is utilized to full capacity.
- 20 - If  $MPbi_j = \text{OVERLOAD}$ :  $p_{ij} = 0$ . No load should be output to overloaded processors  $MP_n$ .

The newly determined  $p_{ij}$  must still be normalized:

Set  $p_{\text{sum}} = \text{sum } (p_{ij})$  over  $j=1, \dots, n$  and normalize  
 25 (if  $p_{\text{sum}} > 0$ ) where  $p_{ij} \rightarrow p_{ij}/p_{\text{sum}}$

Afterward, the distribution quota  $q_i$  is determined using the following criteria:

- Initialization value:  $q_i = 0.1$
- If the  $MPIs_i = \text{EXTREME}$ :  $q_i = 0.1$ . This MP is  
 30 overloaded so severely that even its own proportion for a distributed call would overtax it. Therefore, no load balancing, rather only rejecting; load balancing is not practical, moreover, for system engineering reasons relating to the switching center.

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- If  $p_{sum} > 1$ , more load can evidently be distributed.  $q_i$  can then be determined according to the requirements of the  $MP_i$ , where:

1. If the  $OL_i > 0$ , increase  $q_i$  in any case,  
5 where:  $q_i \rightarrow \min \{q_i + 0.15, 1\}$

2. If  $Y_i > \text{threshold}_H$ , increase  $q_i$ , where:  
 $q_i \rightarrow \min \{q_i + 0.15, 1\}$

3. If  $Y_i < \text{threshold}_N$ , decrease  $q_i$ , where:  
 $q_i \rightarrow \max \{q_i - 0.10, 0.1\}$

10 4. Otherwise, if  $\text{threshold}_N < Y_i < \text{threshold}_H$   
the following holds true:

$q_i \rightarrow \min \{ \max \{ q_i + (0.25 / (\text{threshold}_H - \text{threshold}_N)) * (Y_i - \text{threshold}_N) - 0.1, 0.1 \}, 1.0 \}$

15 This is the linear interpolation between the  
above increase by 0.15 and the above decrease by 0.1.  
The formula is represented again more readably in  
figure 3.

- If  $p_{sum} < 1$ , evidently too much load was  
distributed and  $q_i$  must be decreased, where:

20  $q_i \rightarrow q_i * p_{sum}$ .

- The processor  $MP_i$  distributes load to other  
processors  $MP_k$  if it becomes the case that  $q_i > 0.25$ .

The method according to the invention thus has  
the following properties and advantages:

25 A very small information overhead between the  
processors participating in the load distribution  
method. Only a few, preferably three-value, load states  
are reciprocally known, which load states are updated  
and distributed only once per control interval.

30 For each processor there is a quota which is  
updated in each control interval and regulates the

proportion of the load which is to be distributed from the processor considered to the other processors involved.

For each processor there are individual regulators which divide between the other processors the load that is to be distributed.

The method is not only designed as a "fire-fighting measure" which only takes effect when a processor reaches overload and, if appropriate, tasks (calls) are rejected, rather the load distribution commences earlier and in a softer fashion. As a result, continuous unbalanced load states can be processed better and with fewer rejected tasks (calls).

In the method according to the invention, the load states which are distributed to the other processors are consistently determined on the basis of the estimated offered load and not on the basis of the actually processed load.

The method does not require a load balancing flag which regulates entry into the load distribution. The entry is regulated by way of the distribution quota  $q_i$ . Furthermore, mutual dependencies between the load states and the load balancing flag have been eliminated as a result of the absence of a load balancing flag. As a result, the algorithm can more easily be subsequently adapted to changed conditions.

The load-dependent alteration of the individual regulators (load distribution factors  $p_{ij}$ ) takes place as a function of the number  $n$  of processors participating in the load distribution. Consequently, the method is independent of the number of processors involved.

The load-dependent alteration of the distribution quotas and of the individual regulators per control interval takes place in such a way as to avoid excessively slow "creeping" to the optimum value.

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The load-dependent alteration of the individual regulators prevents the values from staying at the setting of the preceding load distribution period during a period without load distribution. Rather, there is regulation back to an initial setting.

The inertia - known from the prior art - in the alteration of the quotas has been removed in order to enable easier tracking to the load situation that is actually present.

Attention is supplementarily drawn to the definition of a few terms in this application:

The term or word element "quota" describes the fraction of a whole with a range of values between 0 and 1.

The term of word element "state" describes the instantaneous situation or the instantaneous current value of a quantity. Thus, e.g. the load state of a processor is to be understood as the value of the current load of the processor.

The term hysteresis defines the dependence of a current quantity on its earlier values, its history or its time characteristic.



## Patent claims

1. A method for load distribution in a multiprocessor system, in particular in a multiprocessor system of a communication system, in which tasks that arise can be processed by a plurality of processors  $MP_i$  (where  $i = 1, 2, \dots, n$ ) under real-time conditions, having the following iterative method steps that are repeated at time intervals CI:

- each processor  $MP_i$  determines its actual current load  $Y_i$  and estimates as a function of previously communicated distribution quotas  $q_i(\text{old})$  and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ , the distribution quota  $q_i$  representing the load proportion which can be distributed to other processors  $MP_k$ ,

- each processor  $MP_i$  indirectly or directly communicates its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),

- each processor  $MP_i$  determines its load distribution probabilities  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of said other processors  $MP_k$ ,

- each processor  $MP_i$  determines its distribution quota  $q_i(\text{new})$  as a function of its actual current load  $Y_i$  and the load distribution factors  $p_{ij}$

- on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ , each processor  $MP_i$  distributes its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

2. The method as claimed in the preceding claim 1, characterized in that the estimated offered load  $A_i$  of a processor  $MP_i$  is calculated according to the formula  $A_i := Y_i / (1 - q_i V)$ .

3. The method as claimed in one of the preceding claims, characterized in that the multi-value load indication value (balancing indicator)  $MPbi_i$  can assume three discrete values, preferably the values NORMAL, HIGH and OVERLOAD, where NORMAL corresponds to a processor capacity utilization of from 0 to 75%, HIGH corresponds to a processor capacity utilization of from 70% to 85% and OVERLOAD corresponds to a processor capacity utilization of from 85% to 100%.

4. The method as claimed in the preceding claim 3, characterized in that the load indication value (balancing indicator)  $MPbi_i$  is subject to a hysteresis with regard to changes.

6. The method as claimed in one of the preceding claims, characterized in that the average or maximum distributable proportion of a typical task  $CallP$  is regarded as the typical distributable proportion  $V$ .

7. The method as claimed in the preceding claim 6, characterized in that the average or

maximum distributable proportion of a typical task is continually determined as moving average or moving maximum value over a predetermined time period  $t_D$ .

8. The method as claimed in the preceding claim 7, characterized in that the following holds true for the predetermined time period  $t_D$ :  $t_D \gg CI$ .

9. The method as claimed in one of the preceding claims, characterized in that an average or maximum task is assumed as the typical task.

10. The method as claimed in the preceding claim 6, characterized in that the average or maximum task is continually determined as moving average or moving maximum value over a predetermined time period  $t_D$ .

11. The method as claimed in the preceding claim 10, characterized in that the following holds true for the predetermined time period  $t_D$ :  $t_D \gg CI$ .

12. The method as claimed in one of the preceding claims, characterized in that the following holds true for the predetermined value  $q_v$  of the distribution quota  $q_i$  starting from which the processor  $MP_i$  distributes distributable load to other processors  $MP_k$ :  $0.05 < q_v < 0.3$ , preferably  $0.1 < q_v < 0.25$ , preferably  $q_v = 0.2$ .

13. The method as claimed in one of the preceding claims, characterized in that the calculation of the distribution quota  $q_i$  satisfies the following criteria:

- $p_{ii} = 0$
- if  $MPbi_j$  corresponds to an average load, preferably  $MPbi_j = \text{NORMAL}$ , the following holds true:  
 $p_{ij}(\text{new}) = p_{ij}(\text{old}) + p_{c1}/n$ , for  $j=1, \dots$ , and  $i \neq j$
- if  $MPbi_j$  corresponds to a high load, preferably  $MPbi_j = \text{HIGH}$ , the following holds true:  
 $p_{ij}(\text{new}) = p_{ij}(\text{old}) - p_{c2}/n$ , for  $j=1, \dots, n$  and  $i \neq j$
- if  $MPbi_j$  corresponds to an overload, preferably  $MPbi_j = \text{OVERLOAD}$ , the following holds true:  
 $p_{ij}(\text{new}) = 0$
- in which case the  $p_{ij}$  ( $j=1, \dots, n$ ) are normalized to 1 with the sum  $p_{\text{sum}}$  of the  $p_{ij}$  and
- as initialization value at the beginning of the distribution processes, all  $p_{ij}$ , excluding  $p_{ii}$ , are identical.

14. The method as claimed in the preceding claim 13, characterized in that the following holds true for the constant  $p_{c1}$ :

$0.1 < p_{c1} < 0.5$ , preferably  $0.2 < p_{c1} < 0.3$ , preferably  $p_{c1} = 0.25$ .

15. The method as claimed in one of the preceding claims 13-14, characterized in that the following holds true for the constant  $p_{c2}$ :  $0.1 < p_{c2} < 0.5$ , preferably  $0.2 < p_{c2} < 0.3$ , preferably  $p_{c2} = 0.25$ .

16. The method as claimed in one of the preceding claims 13-15, characterized in that the initialization value of the  $p_{ij}$  at the beginning of the distribution processes is set to be equal to  $(n-1)^{-1}$ .

17. The method as claimed in one of the preceding claims 13-16, characterized in that each processor  $MP_i$  determines a multi-value load status (load state)  $MPls_i$  on the basis of its actual current load  $Y_i$ , and the calculation of the load indication values  $MPbi_i$  satisfies the following criteria:

- if  $MPls_i$  corresponds to the highest load, the following holds true:

$$q_i(\text{new}) = c_{q1},$$

- if  $p_{\text{sum}} \geq 1$  holds true:

- if the actual current load  $Y_i$  is greater than a predetermined value threshold<sub>H</sub>,  $q_i$  is increased where  $q_i = \min\{q_i + c_{q1}, 1\}$ ,

- if the actual current load  $Y_i$  is less than a predetermined value threshold<sub>N</sub>,  $q_i$  is decreased where  $q_i = \max\{q_i - c_{q2}, c_{q3}\}$ , where  $0 < c_{q3} < q_v$ , preferably  $c_{q3} = 0.1$ ,

- otherwise ( $\text{threshold}_N \leq Y_i \leq \text{threshold}_H$ ),  $q_i$  obtains an intermediate value between the two alternatives mentioned above, preferably by linear interpolation

- if  $p_{\text{sum}} \leq 1$  holds true:  $q_i(\text{new}) = q_i(\text{old}) * p_{\text{sum}}$ .

18. The method as claimed in the preceding claim 17, characterized in that the load status (load state)  $MPls_i$  is subject to a hysteresis with regard to changes.

19. The method as claimed in one of the preceding claims 17-18, characterized in that the multi-value load status (load state)  $MPls_i$  can assume four discrete values, preferably NORMAL (=0 to 0.7), HIGH (=0.7 to 0.85), OVERLOAD (=0.85 to 1) and EXTREME (if load status over a plurality of CI OVERLOAD).



- each processor  $MP_i$  has means for determining its actual current load  $Y_i$  and for estimating as a function of previously communicated distribution quotas  $q_i(\text{old})$  and the typically distributable proportion  $V$  of a typical task its offered load  $A_i$ , which leads to a multi-value load indication value (balancing indicator)  $MPbi_i$ , the distribution quota  $q_i$  representing the load proportion which can be distributed to other processors  $MP_k$ ,

- each processor  $MP_i$  has means for indirectly or directly communicating its load indication value  $MPbi_i$  to the respective other processors  $MP_k$  (where  $k = 1, 2, \dots, i-1, i+1, \dots, n$ ),

- each processor  $MP_i$  has means for determining its load distribution probabilities  $p_{ij}$  (where  $j = 1, 2, \dots, n$ ) as a function of the load indication values  $MPbi_k$  of said other processors  $MP_k$ ,

- each processor  $MP_i$  has means for determining its distribution quota  $q_i(\text{new})$  as a function of its actual current load  $Y_i$  and

- each processor  $MP_i$  has means for distributing, on the basis of its quota  $q_i$  and its load distribution factors  $p_{ij}$ , its distributable load to other processors  $MP_k$  if its distribution quota  $q_i(\text{new})$  exceeds a predetermined value  $q_v$ .

26. The multiprocessor system as claimed in claim 25, characterized in that one of the methods as claimed in one of claims 1-24 is implemented.

Dictation A

page 6 of highlighted copy

5           Each processor  $MP_i$  determines a multi-value load status (load state)  $MPIs_i$  on the basis of its actual current load  $Y_i$ , and

Dictation B

10

page 7

where  $Ol_i$  represents a quantification for the overload of the processor,

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Page 18

Attention is supplementary drawn to the definition of a few terms in this application:

20   The term or word element "quota" describes the fraction of a hole with a range of values between 0 and 1.

25   The term of word element, "states" describes the instantaneous situation or the instantaneous current value of a quantity. Thus, e.g. the load state of a processor is to be understood as the value of the current load of the processor.

    The term hysteresis defines the dependence of a current quantity on its earlier values, its history or its time characteristic.

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Fig. 1

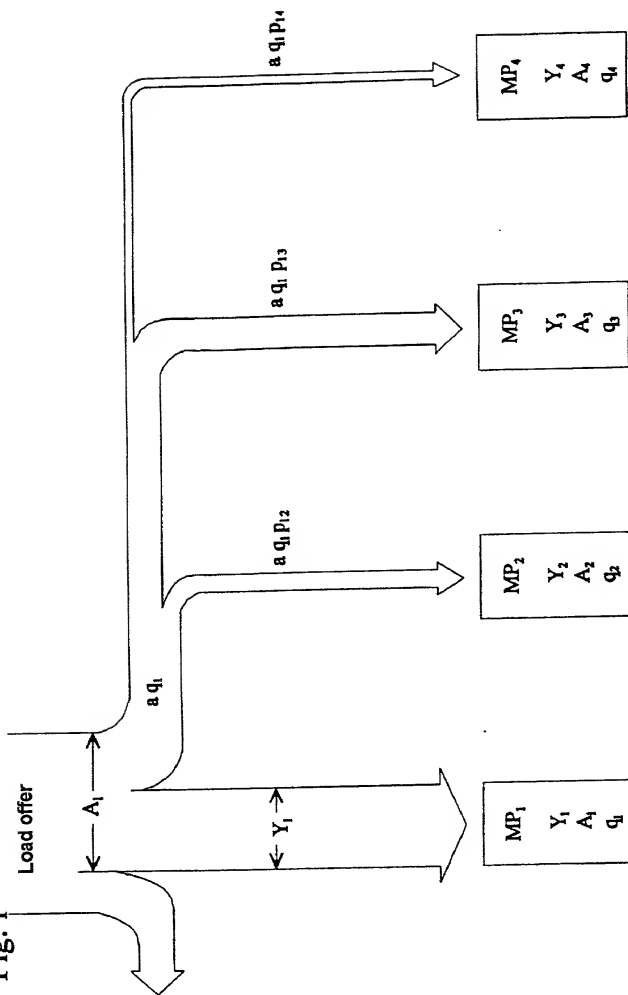


Fig. 2

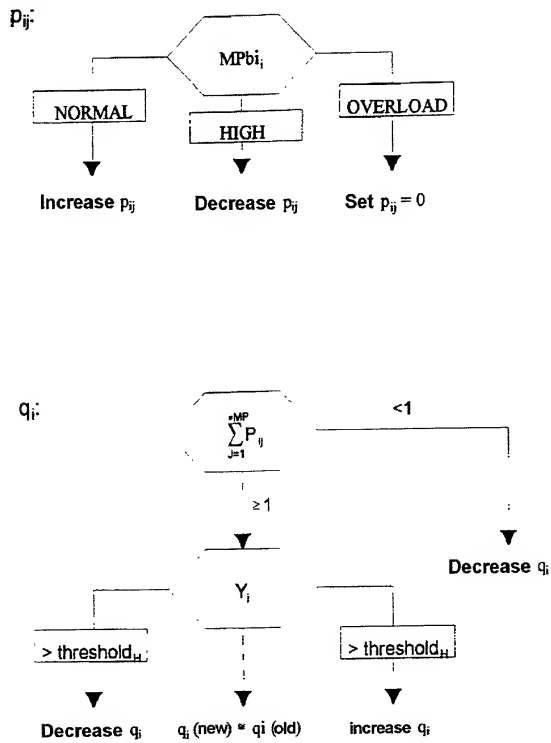


Fig. 3

$$q_i \longrightarrow \min \{ \max \{ q_i + \frac{0.25}{\text{threshold}_H - \text{threshold}_N} (Y_i - \text{threshold}_N) - 0.1, 0.1 \}, 1.0 \}$$

## Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

# German Language Declaration

Prior foreign applications  
Priorität beansprucht

Priority Claimed

99101122.2

EP

21.01.1999

(Number)  
(Nummer)

(Country)  
(Land)

(Day Month Year Filed)  
(Tag Monat Jahr eingereicht)

☒  
Yes  
Ja

☐  
No  
Nein

(Number)  
(Nummer)

(Country)  
(Land)

(Day Month Year Filed)  
(Tag Monat Jahr eingereicht)

☐  
Yes  
Ja

☐  
No  
Nein

(Number)  
(Nummer)

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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/EP00/00317

(Application Serial No.)  
(Anmeldeseriennummer)

17.01.2000

(Filing Date D, M, Y)  
(Anmeldedatum T, M, J)

anhängig

(Status)  
(patentiert, anhängig,  
aufgegeben)

pending

(Status)  
(patented, pending,  
abandoned)

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date D, M, Y)  
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